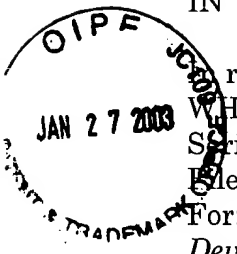


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12-19-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re U.S. Patent Application of:
WHEELER et al.)
Serial No.: 09/923,213)
Filed: August 6, 2001)
For: *Manufacturing Unique*)
Devices That Generate Digital)
Signatures)
Docket No.: 10399-34384)

Art Unit:
Examiner: BARRON, Gilberto

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Charlotte, North Carolina

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

In compliance with the Applicant's duty of disclosure under 37 CFR §1.56, Applicant brings the attached document or documents cited on the attached form PTO/SB/08 to the attention of the U.S. Patent and Trademark Office in accordance with the provisions of 37 CFR §1.97-98.

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Respectfully submitted,

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Sheet 1 of 5

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Application Number	09/923,213
Filing Date	8/6/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	BARRON, Gilberto
Attorney Docket Number	10399-34384

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1.	BURTON S. KALISKI, JR., Some Examples of the PKCS Standards, An RSA Laboratories Technical Note, 11-1-93, RSA Laboratories, Redwood City, CA, pp. 1-15	
	2.	BURTON S. KALISKI, JR., A Layman's Guide to a Subset of ASN.1, BER, and DER, An RSA Laboratories Technical Note, 11-1-93, RSA Laboratories, Redwood City, CA, pp. 1-36	
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	4.	PKCS #3: Diffie-Hellman Key-Agreement Standard, An RSA Laboratories Technical Note, Version 1.4, 11-1-93, RSA Laboratories, Redwood City, CA, pp. 1-8	
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	10.	Security Requirements for Cryptographic Modules, Federal Information Processing Standards Publication 140-1, 1-11-94, pp. 1-45, CSL Bulletin For FIPS 140-1 pp. 1-6, Validated FIPS 140-1 Cryptographic Modules 2001, 2000, 1999, 1998, 1997-95, pp. 1-14	
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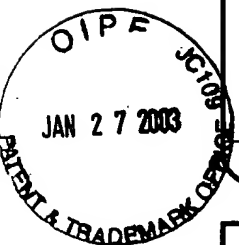
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Sheet 2 of 5

Complete if Known

Application Number	09/923,213
Filing Date	8/6/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	BARRRON, Gilberto
Attorney Docket Number	10399-34384



OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	12.	PETER COX, Bench-Marking SmartGate, Establishing the Scaleability of V-ONE's SmartGate Security System, Internet SmartWare Ltd., 7-24-97, pp. 1-7	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 5

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Application Number	09/923,213
Filing Date	8/6/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	BARRON, Gilberto
Attorney Docket Number	10399-34384

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	23.	Guideline for Implementing Cryptography in the Federal Government, NIST Special Publication 800-21, November 1999, pp. 1-138	
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	26.	Trusted Platform Module (TPM) Security Policy (A Part of the "TCPA Security Policy"), Version 0.45, October 2, 2000, pp. 1-13	
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Sheet 4 of 5

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Application Number	09/923,213
Filing Date	8/6/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	BARRON, Gilberto
Attorney Docket Number	10399-34384

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	34.	Entity Authentication Using Public Key Cryptography, FIPS Publication 196, February 18, 1997, pp. 1-52	
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Application Number	09/923,213
Filing Date	8/6/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	BARRON, Gilberto
Attorney Docket Number	10399-34384

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Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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2132
#6
3-31-04
JM

EFS ID: 22302
Application ID: 09923213
Title of Invention: Manufacturing Unique Devices
That Generate Digital Signature
First Named Inventor: Lynn Wheeler
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-08-06
Effective Receipt Date: 2003-01-27
Submission Type: Information Disclosure Statement
Filing Type: null
Confirmation Number: 8986
Attorney Docket Number: 10399-34384
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Submission Type: Information
Disclosure Statement

Application Number: 09/923,213
Attorney Docket Number: 10399-34384

Manufacturing Unique Devices That Generate Digital Signature

First Named Inventor: Mr. Lynn Henry Wheeler

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SUBMITTED BY

Name: Chad D. Tillman
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Electronic Signature Mark: Chad D. Tillman
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Electronic Information Disclosure Statement

Manufacturing Unique Devices That Generate Digital Signature

Application:



09/923213

Confirmation: 8986

Applicant(s): Lynn Wheeler

Docket

10399-34384

Number:

Group Art

Unit:

Examiner: Gilberto BARRON, Jr.

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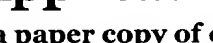

search string: 3962539 or 4200770 or 4218582 or 4405829 or 4408203 or 4424414 or 4748668 or 4797920 or 4823388 or 4825050 or 4850017 or 4868877 or 5018196 or 5097504 or 5140634 or 5214703 or 5225978 or 5231668 or 5502766 or 5509071 or 5539828 or 5563946 or 5590197 or 5604801 or 5788953 or 5694471 or 5708780 or 5715314 or 5721779 or 5724424 or 5751813 or 5781723 or 5798640 or 5812666 or 5848161 or 5862327 or 5878142 or 5887131 or 5910989 or 5910988 or 5949881 or 5956404 or 20010000535).pn.

US Patent Documents

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init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	3962539	1976-09-08		Ehrsam et al.		
	P02	4200770	1080-04-29		Hellman et al.		
	P03	4218582	1980-08-19		Hellman et al.		
	P04	4405829	1983-09-20		Rivest et al.		
	P05		1983-10-04		Campbell		

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	P06	4424414	1984-01-03		Hellman et al.
	P07	4748668	1988-05-31		Shamir et al.
	P08	4797920	1989-10-10		Stein
	P09	4823388	1989-04-18		Mizutani et al.
	P10	4825050	1989-04-25		Griffith et al
	P11	4850017	1989-07-18		Maytas, Jr. et al.
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	P21	5539828	1996-07-23		Davis
	P22	5563946	1996-10-08		Cooper et al.
	P23	5590197	1996-12-31		Chen et al.
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	P32	5671279	1997-09-03		Elgamal
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	P45	5878142	1993-03-01		Capute et al.
	P46	5887131	1999-03-23		Angelo
	P47	5910989	1999-06-08		Naccache
	P48	5910988	1999-06-08		Ballard
	P49	5949881	1999-09-07		Davis
	P50	5956404	1999-09-21		Schneier et al.

Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	U01	20010000535	4260-01-25		Lapsley et al.		

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2132 #3 BT
02-5-03

Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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EFS ID: 22301
Application ID: 09923213
Title of Invention: Manufacturing Unique Devices
That Generate Digital Signatures
First Named Inventor: Lynn Wheeler
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-08-06
Effective Receipt Date: 2003-01-27
Submission Type: Information Disclosure Statement
Filing Type: null
Confirmation Number: 8986
Attorney Docket Number: 10399-34384
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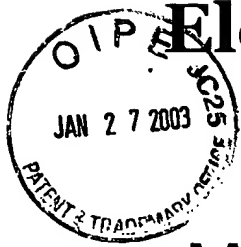
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Nothing herein—including the submission of the documents themselves, whether in this or any other filing—constitutes an admission by Applicant that any of the documents is prior art with respect to the present invention, or a waiver of any rights of Applicant. Indeed, while each citation includes a date, it should be understood that, whether expressly stated or not, the date specified in the citation is a date as alleged by the document or otherwise, and Applicant neither admits nor denies the correctness of the specified date at this time. Moreover, with regard to non-patent documents, Applicant neither admits nor denies that each document submitted is, in fact, a “publication” within the meaning of 35 U.S.C. §102.



Electronic Information Disclosure Statement

Manufacturing Unique Devices That Generate Digital Signatures

Application:



09/923213

Confirmation: 8986

Applicant(s): Lynn Wheeler

Docket

10399-34384

Number:

Group Art

Unit:

Examiner: Gilberto Barron, Jr.

search string:

(5970147 or 6023509 or 6044154 or 6047051 or 6084969 or 6092202 or 6105012 or 6108644 or 6111956 or 6134325 or 6161180 or 6161181 or 6167518 or 6202151 or 6230269 or 6192130 or 6199052 or 6243812 or 6263447 or 4734564 or 4885788 or 5453601 or 5534855 or 6154543 or 5903882 or 5943423 or 6189096 or 6233565 or 6285991 or 6240091 or 5928298 or 5778072 or 5878172 or 6009177 or 6021202 or 6243812 or 5671285 or 5455865 or 5577120 or 5677955 or 5963648 or 6205437 or 5209208 or 5745886 or 6299062 or 6144949 or 5677953 or 5677120).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Signature

Examiner Name	Date